Algebraic aspects of magic matrices and semi-magic squares

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March 13, 2020

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Preamble:

Stony Brook Ph.D. written qualifier preparation question (1990s)

• Find the characteristic and minimal polynomials of the following matrix *U*. Find bases for the eigenspaces.

Repeat for the matrix

$$U' = \begin{bmatrix} 0 & 1 & 1 & 1 \\ 1 & 0 & 1 & 1 \\ 1 & 1 & 0 & 1 \\ 1 & 1 & 1 & 0 \end{bmatrix}$$

Solution to 1:

•
$$m_U(x) = x(x-4)$$

• $p_U(x) = x^3(x-4)$

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Joint work with M. S. Ravi

Definitions

For clarity, we only consider matrices with coefficients in ${\mathbb N}$ or ${\mathbb C}$

Definition

We say M in $M(n, \mathbb{C})$ is **row stochastic** with line sum r if the sum along any row is r.

Likewise, define the notion of **column stochastic** with line sum c.

Magic matrices

Definition

M in $M(n, \mathbb{C})$ is called a **magic matrix** with line sum *r* if the sum along every row or column is *r*.

Define MM(n) to be the set of all magic matrices of size n..

Note: If M is

- row stochastic with line sum r and
- column stochastic with line sum c

then r = c.

Proof: nr = nc implies r = c.

Variations:

- **9** Semi-magic squares $\mathbb{M}(n)$: coefficients in \mathbb{N}
- **2 Doubly stochastic**: coefficients in $0 \le x \le 1$, r = c = 1.

Example: Permutation matrices

Let P(n) be the group of $n \times n$ matrices with entries

- exactly one 1 in each row and column, and
- 0 otherwise.

•
$$P(n) \cong S_n$$
 and $|P(n)| = n!$

- $P^T P = P P^T = I$
- $det(P) = \pm 1$
- magic matrix with line sum 1, and
- if $M = \sum x_i P_i$ then M is a magic matrix with line sum $\sum x_i$.

Birkhoff (1946): Polytope of DS matrices equals the convex hull of P(n).

Example: Circulant matrices

Let Z(n) be the subgroup of $n \times n$ matrices in P(n) with entries

- all 1 along some "diagonal" to the right, and
- 0 otherwise.

Suppose R = (123...n) is the element whose "diagonal" starts in the second entry of the first column. Then R generates all elements of Z(n).

Of course, $R^n = I$ and $Z(n) \cong \mathbb{Z}/n$.

Example: $R = (123), R^2 = (132), R^3 = I \text{ in } Z(3)$

0	0	1]		Γ0	1	0]		[1	0	0]	
1	0	0	,	0	0	1	,	0	1	0	
0	1	1 0 0		[1	0	0		0	0	1	

Example: Circulant matrices

Circulant matrices

Let C(n) be the commutative algebra generated by R in Z(n).

That is, elements of C(n) are linear combinations of the linearly independent matrices $I, R, R^2, \ldots R^{n-1}$.

$$C(3) = \begin{bmatrix} a & b & c \\ c & a & b \\ b & c & a \end{bmatrix}$$

Basic Counting Problem: With coefficients in \mathbb{N} , how many elements of C(n) have line sum *L*?

Solution: Identify $c_0 I + c_1 R + \cdots + c_{n-1} R^{n-1}$ with $(c_0, c_1, \dots, c_{n-1})$.

Place *L* balls into *n* distinct boxes, giving $\binom{L+n-1}{L}$ squares.

Three approaches:

 combinatorics/ combinatorial number theory (counting the size of M(n, r))

McMahon (1916); Stanley; DeLoera, and many others

- linear algebraic approaches (*MM*(*n*) as a Lie algebra/Jordan algebra) Boukas, Feinsilver, Fellouris (2015)
- Our approach: the group algebra $\mathbb{C}[G]$
 - Wedderburn's Theorem for semi-simple algebras over C
 group actions.

Linear Algebra - Vector Spaces

If M_i is in MM(n) with line sum L_i then

- $M_1 + M_2$ is row stochastic with line sum $L_1 + L_2$, and
- cM_1 is row stochastic with line sum cL_i .

So MM(n) is a vector space over \mathbb{C} .

Linear Algebra - Dimensions

$$dim \ MM(n) = (n-1)^{2} + 1^{2}$$

$$Example : dim \ MM(3) = 4 + 1 = 5$$

$$\begin{bmatrix} a & b & L-a-b \\ c & d & L-c-d \\ L-a-c & L-b-d & a+b+c+d-L \end{bmatrix}$$

0

0

Note:

$$(L - a - b) + (L - c - d) = (L - a - c) + (L - b - d)$$

Note:

$$(n-1)^2 + 1 \le n!$$
 for $n \ge 1$.

P(n) spans MM(n), but is not a basis. (More later)

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Eigenvector Formulation

Let $u_1 = (1, 1, ..., 1)^T$ in \mathbb{C}^n . (column vector)

Alternative formulation

M is **row stochastic** with line sum *L* if and only if $Mu_1 = Lu_1$. That is, u_1 is an eigenvector of *M* with eigenvalue *L*.

Alternative formulation

M is **column stochastic** with line sum *L* if and only if $M^T u_1 = L u_1$. That is, u_1 is an eigenvector of M^T with eigenvalue *L*.

Alternative formulation

M is a magic matrix with line sum L if and only if

$$Mu_1 = M^T u_1 = Lu_1.$$

That is, u_1 is an eigenvector of both M and M^T with eigenvalue L.

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Multiplication

Proposition

Suppose M_i are row stochastic with line sums L_i . Then M_1M_2 is also row stochastic with line sum L_1L_2 .

Proof:
$$M_1M_2u_1 = M_1L_2u_1 = L_2M_1u_1 = L_1L_2u_1$$
. QED

Note that if M_i are instead column stochastic, then $(M_1M_2)^T = M_2^T M_1^T$ is row stochastic with line sum L_1L_2 .

Conclusions:

- the product of two magic matrices is also magic,
- the line sum map $M \mapsto L_M$ is a linear character $L: MM(n) \to \mathbb{C}$, and
- if H is a subgroup of P(n), then the algebra generated by H is a subalgebra of MM(n).

Wedderburn's Theorem

Definition

If *H* is a subgroup of $P(n) \cong S_n$, then define $MM_H(n)$ to be the algebra generated by *H* in MM(n).

Wedderburn's Theorem

If A is a semisimple algebra over ${\mathbb C}$ of finite dimension, then

$$A\cong\bigoplus_i M(n_i,\mathbb{C}).$$

Consequences:

- Interpret: there exists a basis such the elements of A are represented simultaneously by block diagonal matrices,
- **2** Main Problem 1: identify the block sizes n_i .
- **Main Problem 2:** identify the orthogonal idempotents of *A*.

Group Algebras

Assume *H* is a subgroup of $P(n) \cong S_n$.

The group algebra of H

Define $\mathbb{C}[H]$ to be the vector space with basis $\{e_h\}_{h\in H}$. Define multiplication in $\mathbb{C}[H]$ by extending $e_g \cdot e_h = e_{gh}$.

Of course, dim $\mathbb{C}[H] = |H|$.

Consider the map of algebras, extending

$$\Phi:\mathbb{C}[H] o MM_H(n) \subset MM(n)$$

$$\Phi(e_h)=h.$$

Example: H = Z(n): Linear independence of $\{I, R, \ldots, R^{n-1}\}$

$$\Phi:\mathbb{C}[Z(n)]\xrightarrow{\sim} C(n)$$

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Orthogonal idempotents for C(n)

One strategy: Z(n) is cyclic (abelian) \rightarrow simultaneously diagonalize to get OIs.

Net effect: representation theory (more features)

Definition

A character of Z(n) is a group homomorphism $\chi: Z(n) \to \mathbb{C}^*$.

Example (all): Let $\omega = e^{2\pi i/n}$. Fix $0 \le k < n$. Then

$$\chi_k(R) = \omega^k$$

is a character of Z(n).

Note:

$$|\chi(\mathbf{x})| = 1, \qquad \overline{\chi_k} = \chi_{-k}, \qquad \chi_k \cdot \chi_{k'} = \chi_{k+k'}$$

Orthogonal Relations for Z(n)

Orthogonality Relations for Z(n)

$$\frac{1}{|H|} \sum_{h \in H} \chi_k(h) \ \overline{\chi_{k'}(h)} = \begin{cases} 1 & k = k' \\ 0 & otherwise \end{cases}$$

Proof: If k = k', clear. If not,

$$\chi_k(h) \ \overline{\chi_{k'}(h)} = \chi_{k-k'}(h),$$

a non-trivial character.

So consider instead some χ with $\chi(x) \neq 1$. Set $S = \sum_{h} \chi(h)$.

$$\chi(x)S = \chi(x)\sum_{h\in H}\chi(h) = \sum_{h\in H}\chi(xh) = \sum_{h'\in H}\chi(h') = S.$$

Since $\chi(x) \neq 1$, S = 0. \Box

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Representations of Z(n)

Let V be a finite-dimensional vector space over \mathbb{C} , and define GL(V) to be the set of invertible linear transformations $V \to V$.

Representation

A representation π of Z(n) is a group homomorphism $\pi: Z(n) \to GL(V)$.

"Group action by linear transformations"

Full Reducibility to Characters

Every representation of Z(n) may be diagonalized; that is, there exists a basis such that

$$\pi(h) = \begin{bmatrix} \chi(h) & 0 & 0 \\ 0 & \chi_2(h) & 0 \\ 0 & 0 & \chi_3(h) \end{bmatrix}$$

$$\begin{aligned} & \text{Projection formula} \qquad P_{\chi} : MM(n) \to MM(n)_{\chi} \\ & P_{\chi}(v) = \frac{1}{|H|} \sum_{h \in H} \overline{\chi(h)} \ \pi(h)v \quad \to \quad P_{\chi}(M) = \frac{1}{n} \sum_{h \in Z(n)} \overline{\chi(h)} \ hM \\ & \text{Examples: } n = 3, \ M = I \quad \to \quad \text{Orthogonal idempotents} \\ & \chi_{0}(R) = 1 : \ 1 \begin{bmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{bmatrix} + 1 \begin{bmatrix} 0 & 0 & 1 \\ 1 & 0 & 0 \\ 0 & 1 & 0 \end{bmatrix} + 1 \begin{bmatrix} 0 & 1 & 0 \\ 0 & 0 & 1 \\ 1 & 0 & 0 \end{bmatrix} = \begin{bmatrix} 1 & 1 & 1 \\ 1 & 1 & 1 \\ 1 & 1 & 1 \end{bmatrix} \\ & \chi_{1}(R) = \omega : \ 1 \begin{bmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{bmatrix} + \omega^{2} \begin{bmatrix} 0 & 0 & 1 \\ 1 & 0 & 0 \\ 0 & 1 & 0 \end{bmatrix} + \omega \begin{bmatrix} 0 & 1 & 0 \\ 0 & 0 & 1 \\ 1 & 0 & 0 \end{bmatrix} = \begin{bmatrix} 1 & \omega & \omega^{2} \\ \omega^{2} & 1 & \omega \\ \omega & \omega^{2} & 1 \end{bmatrix} \\ & \chi_{2}(R) = \omega^{2} : \ 1 \begin{bmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{bmatrix} + \omega \begin{bmatrix} 0 & 0 & 1 \\ 1 & 0 & 0 \\ 0 & 1 & 0 \end{bmatrix} + \omega^{2} \begin{bmatrix} 0 & 1 & 0 \\ 0 & 0 & 1 \\ 1 & 0 & 0 \end{bmatrix} = \begin{bmatrix} 1 & \omega^{2} & \omega \\ \omega & 1 & \omega^{2} \\ \omega^{2} & \omega & 1 \end{bmatrix} \end{aligned}$$

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Orthogonal Idempotents for C(3)

$$U_{0} = \frac{1}{3} \begin{bmatrix} 1 & 1 & 1 \\ 1 & 1 & 1 \\ 1 & 1 & 1 \end{bmatrix}, \quad U_{1} = \frac{1}{3} \begin{bmatrix} 1 & \omega & \omega^{2} \\ \omega^{2} & 1 & \omega \\ \omega & \omega^{2} & 1 \end{bmatrix}, \quad U_{2} = \frac{1}{3} \begin{bmatrix} 1 & \omega^{2} & \omega \\ \omega & 1 & \omega^{2} \\ \omega^{2} & \omega & 1 \end{bmatrix}$$

Using $1 + \omega + \omega^2 = 0$,

Orthogonal Idempotents $P_i(M) = U_i M$ $U_i^2 = U_i, \quad U_i U_j = 0 \ (i \neq j)$ $U_0 + U_1 + U_2 = I$

$$C(3) = \mathbb{C}U_0 \oplus \mathbb{C}U_1 \oplus \mathbb{C}U_2 \cong \begin{bmatrix} * & 0 & 0 \\ 0 & * & 0 \\ 0 & 0 & * \end{bmatrix}$$

General Z(n): Top line is $\chi_k(R^i) = \omega^{ik}$ $(0 \le i_0 < n)$

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Group actions for General Case:

There are two group actions of H on the vector spaces $\mathbb{C}[H]$ and MM(n), respected by Φ ; for instance, for all g in H,

$$\mathcal{L}(g)\Phi = \Phi \mathcal{L}(g)$$
 $\mathcal{L}(g)e_h = e_{gh} \quad \mapsto \quad \mathcal{L}(g)M = gM$
 $\mathcal{R}(g)e_h = e_{hg^{-1}} \quad \mapsto \quad \mathcal{R}(g)M = Mg^{-1}$

Example: In C(3), we have the further identities

$$\mathcal{L}(R)U_{0} = U_{0} = \chi_{0}(R)U_{0}.$$
$$\mathcal{L}(R)U_{1} = \omega U_{1} = \chi_{1}(R)U_{1}.$$
$$\mathcal{L}(R)U_{2} = \omega^{2}U_{2} = \chi_{2}(R)U_{2}.$$

That is, these orthogonal idempotents provide the basis that diagonalizes the group action in this case.

Projection formula (reprise):

Suppose \mathcal{L} is in diagonal form already,

$$3P_{\chi} = \sum_{h} \overline{\chi(h)} \mathcal{L}(h) = \sum_{h} \overline{\chi(h)} \begin{bmatrix} \chi(h) & 0 & 0 \\ 0 & \chi_2(h) & 0 \\ 0 & 0 & \chi_3(h) \end{bmatrix}$$
$$= \sum_{h} \begin{bmatrix} \chi(h) \overline{\chi(h)} & 0 & 0 \\ 0 & \chi_2(h) \overline{\chi(h)} & 0 \\ 0 & 0 & \chi_3(h) \overline{\chi(h)} \end{bmatrix}$$
$$= \begin{bmatrix} 3 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{bmatrix}$$

Examples: $H = P(n) \cong S_n$

- Φ has a large kernel $(n! > (n-1)^2 + 1)$ but is surjective,
- 2 the image has two blocks $(1^2 + (n-1)^2)$, and
- ② the orthogonal idempotents are relatively easy: $U^2 = nU$

$$e_1=\frac{1}{n}U, \qquad e_2=I-\frac{1}{n}U$$

Orthogonal Idempotents

$$e_1 + e_2 = I$$
, $e_1 \cdot e_2 = 0$, $e_i^2 = e_i$

Elements of MM(n) with L = 0 have dimension $(n - 1)^2$.

$$MM(n) = \mathbb{C}U \oplus \{L=0\} \cong \begin{bmatrix} L & 0 & 0 \\ 0 & * & * \\ 0 & * & * \end{bmatrix}$$

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Example: $H = P(3) \cong S_3$

If $H = S_3$, then Φ is surjective but not injective.

By linear algebra, P(3) is a linearly dependent set with dependence relation

$$I + R + R^{2} - C - CR - CR^{2} = 0.$$

$$\begin{bmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{bmatrix} + \begin{bmatrix} 0 & 0 & 1 \\ 1 & 0 & 0 \\ 0 & 1 & 0 \end{bmatrix} + \begin{bmatrix} 0 & 1 & 0 \\ 0 & 0 & 1 \\ 1 & 0 & 0 \end{bmatrix} + \begin{bmatrix} 0 & 1 & 0 \\ 1 & 0 & 0 \\ 0 & 0 & 1 \end{bmatrix} + \begin{bmatrix} 1 & 0 & 0 \\ 0 & 0 & 1 \\ 0 & 1 & 0 \end{bmatrix} = \begin{bmatrix} 1 & 1 & 1 \\ 1 & 1 & 1 \\ 1 & 1 & 1 \end{bmatrix}.$$

dim $\mathbb{C}[S_3] = 6 = 1 + 5 = dim \text{ Ker } \Phi + dim \text{ MM}(3)$

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Basic Counting Problem in MM(3) (1916)

To count elements with coefficients in \mathbb{N} with line sum *L*, we are considering elements of $\mathbb{C}[H]$ mod the dependence relation, or

$$\sum \mathsf{a}_{\mathsf{h}}\mathsf{e}_{\mathsf{h}}\mapsto (\mathsf{a}_{\mathsf{e}},\mathsf{a}_{\mathsf{R}},\mathsf{a}_{\mathsf{R}^2},\mathsf{a}_{\mathsf{C}},\mathsf{a}_{\mathsf{C}\mathsf{R}},\mathsf{a}_{\mathsf{C}\mathsf{R}^2})\in\mathbb{N}^6$$

mod the relation (1, 1, 1, 0, 0, 0) = (0, 0, 0, 1, 1, 1).

Each element is now represented uniquely by a 6-tuple with sum L and such that a 0 occurs in the one of the last three entries.

$$H_3(L) = \binom{L+6-1}{L} - \binom{(L-3)+6-1}{L-3}.$$

First term: *L* balls into 6 boxes Second term: L - 3 balls into 6 boxes of this type (0, 0, 0, 1, 1, 1)

Example: Characters of S_3

The (one-dimensional) characters of S_3 are

- the trivial character $\chi = 1$ (contributes U)
- **2** determinant as P(3), or sgn as permutation.

Visually

$$\Phi:\begin{bmatrix}\ast&&&\\&\ast&&\\&&\ast&\ast\\&&&\ast&\ast\end{bmatrix}\to\begin{bmatrix}\ast&&\\&&\ast&\ast\\&&&\ast\end{bmatrix}$$

In this case, the kernel is the idempotent in $\mathbb{C}[S_3]$ associated to sgn:

$$e_I + e_R + e_{R^2} - e_C - e_{CR} - e_{CR^2}$$

Characters for Non-abelian Groups

To access the 2×2 block:

Machinery from before still holds if we define the character of the representation π by

General character

$$\chi_{\pi}(h) = Trace(\pi(h)).$$

This is a function on H, but not a homomorphism in general.

Example: For the 2-dimensional representation of S_3 on \mathbb{C}^2 (induced from triangle in \mathbb{R}^2)

$$\chi_{\pi}(I) = 2, \qquad \chi_{\pi}(CR^{i}) = 0, \qquad \chi_{\pi}(R^{i}) = -1$$

Projections for Non-abelian Groups

We apply the same projection formula to get orthogonal idempotents in MM(3):

$$U_{triv} = rac{1}{3} \begin{bmatrix} 1 & 1 & 1 \\ 1 & 1 & 1 \\ 1 & 1 & 1 \end{bmatrix}, \qquad U_{\pi} = rac{1}{3} \begin{bmatrix} 2 & -1 & -1 \\ -1 & 2 & -1 \\ -1 & -1 & 2 \end{bmatrix}$$

$$U_{triv}^2 = U_{triv}, \qquad U_{\pi}^2 = U_{\pi}, \qquad U_{triv}U_{\pi} = 0$$

 $U_{triv} + U_{\pi} = I$

The four dimensional space is given by $U_{\pi}MM(3) = MM(3)U_{\pi}$, or L = 0 as seen before.

Example: Dihedral groups

Let D_{2n} be the union of all elements of Z(n) and the corresponding matrices with "diagonals" to the left.

$$\begin{bmatrix} 0 & 1 & 0 \\ 1 & 0 & 0 \\ 0 & 0 & 1 \end{bmatrix}, \ C = \begin{bmatrix} 0 & 0 & 1 \\ 0 & 1 & 0 \\ 1 & 0 & 0 \end{bmatrix}, \ \begin{bmatrix} 1 & 0 & 0 \\ 0 & 0 & 1 \\ 0 & 1 & 0 \end{bmatrix}$$

With matrix multiplication, this set is isomorphic to the symmetry group of the regular *n*-gon. $(P = P^T \text{ if and only if } P^2 = I.)$

Magic matrices associated to D_{2n}

Let D(n) be the non-commutative algebra generated by D_{2n} in MM(n). That is, elements of D(n) are linear combinations of the 2n-elements

$$R^j$$
, CR^k $(1 \le j, k \le n)$

Basic Counting Problem: DeLoera et al (2013)

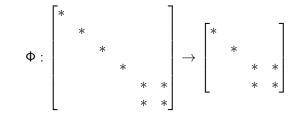
Example: *D*₈

Consider

$$D(4) = MM_{D_8}(4) \subset MM(4),$$

where $D_8 \subset S_4$ is realized as symmetries of the square.

In this case, we shall see



Conjugacy classes and $\mathbb{C}[D_8]$

Conjugacy Classes

The center of $\mathbb{C}[H]$ is spanned by sums over conjugacy classes. Thus the number of blocks equals the number of conjugacy classes.

Proof: Let C_x be the conjugacy class for x in H.

Then $\sum_{h \in C_x} e_h$ is clearly in the center of $\mathbb{C}[H]$.

Conversely, if $\sum c_x e_x$ is in the center, then

$$\sum c_x e_x = e_g(\sum c_x e_x) e_{g^{-1}} = \sum c_{g^{-1}xg} e_x.$$

Thus c_x is constant on conjugacy classes of H.

Conjugacy Classes and Blocks

Conjugacy classes for D_8 :

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- 2 R²
- **3** $\{R, R^3\},\$
- $\textcircled{0} \{C, CR^2\}$
- $\ \, \bullet \ \, \{CR,CR^3\}$

First, this verifies the block count, noting D_8 is non-abelian:

$$8 = 1^2 + 1^2 + 1^2 + 1^2 + 2^2$$

Character Table for D_8

Next, since Φ is surjective onto D(4), it carries the center of $\mathbb{C}[D_8]$ into the center of D(4).

D ₈	е	R^2	R, R^3	C, CR ²	CR, CR ³
χ_{triv}	1	1	1	1	1
χ_{det}	1	1	1	-1	-1
χ_{sgn}	1	1	-1	-1	1
$\chi_{sgn} \cdot \chi_{det}$	1	1	-1	1	-1
π_2	2	-2	0	0	0

The characters yield the following matrices under P_{χ} :

Orthogonal Idempotents

One verifies $U^2 = U$, $U_1 U_2 = 0$, and

$$U_{triv} + U_{sgn} + U_{\pi_2} = I.$$

In particular, the latter item implies that D(4) has three blocks and dimension 6.

Relations for counting problem

Note: There are two relations from the kernel of Φ :

$$I + R + R^2 + R^3 - C - CR - CR^2 - CR^3 = 0$$

$$I - R + R^2 - R^3 + C - CR + CR^2 - CR^3 = 0$$

or

$$I + R^{2} = C(R + R^{3}) = \begin{bmatrix} 1 & 0 & 1 & 0 \\ 0 & 1 & 0 & 1 \\ 1 & 0 & 1 & 0 \\ 0 & 1 & 0 & 1 \end{bmatrix}$$
$$R + R^{3} = C(I + R^{2}) = \begin{bmatrix} 0 & 1 & 0 & 1 \\ 1 & 0 & 1 & 0 \\ 0 & 1 & 0 & 1 \\ 1 & 0 & 1 & 0 \end{bmatrix}$$

General D_{2n} and D(n)

n odd:

- 2 one-dimensional characters;
- one relation for counting from det,
- one dimensional block corresponds to U (all 1s), else size 2
- Generating function for counting is

$$\frac{1-x^n}{(1-x)^{2n}}$$

n = 2m even:

- 4 one-dimensional characters;
- two relations for counting (checkerboards),
- **3** two one-dimensional blocks in D(n), else size 2
- Generating function for counting is

$$\frac{(1-x^m)^2}{(1-x)^{2n}}$$